Ethos’ Deeply Integrated Distributed Types

W. Michael Petullo
Department of Electrical Engineering and Computer Science
United States Military Academy
West Point, New York 10996
Email: mike@flyn.org

Jon A. Solworth
Department of Computer Science
University of Illinois at Chicago
Chicago, Illinois 60607
Email: solworth@rites.uic.edu

Wenyuan Fei
Department of Computer Science
University of Illinois at Chicago
Chicago, Illinois 60607
Email: wfei2@uic.edu

Pat Gavlin
Microsoft
Redmond, Washington 98052
Email: pgavlin@gmail.com

Abstract—Programming languages have long incorporated type safety, increasing their level of abstraction and thus aiding programmers. Type safety eliminates whole classes of security-sensitive bugs, replacing the tedious and error-prone search for such bugs in each application with verifying the correctness of the type system. Despite their benefits, these protections often end at the process boundary, that is, type safety holds within a program but usually not to the filesystem or communication with other programs. Existing operating system approaches to bridge this gap require the use of a single programming language or common language runtime.

We describe the deep integration of type safety in Ethos, a clean-slate operating system which requires that all program input and output satisfy a recognizer before applications are permitted to further process it. Ethos types are multilingual and runtime-agnostic, and each has an automatically generated unique type identifier. Ethos bridges the type-safety gap between programs by (1) providing a convenient mechanism for specifying the types each program may produce or consume, (2) ensuring that each type has a single, distributed-system-wide recognizer implementation, and (3) inescapably enforcing these type constraints.

I. INTRODUCTION

LangSec posits that trustworthy software which accepts untrusted inputs must define a context-free language $L$ of acceptable inputs and must recognize any input against $L$ before processing it [49]. This formal approach prevents (1) exposure to unsanitized inputs due to an incorrect recognizer, and (2) inconsistent recognizers. Recognizers can be small, simple, and formally verified; many tools exist that can help implement such recognizers in individual applications.

One way of providing LangSec is through a type system. In general, applications can contain untrusted errors—where an error goes unnoticed and computation continues—and trapped errors—where an error is detected and computation stops [16]. Untrusted errors are particularly pernicious since they result in arbitrary behavior. Sassaman et al. identified consequences that arise from poor input handling, including X.509 certificate ambiguity, IDS evasion, stack-smashing attacks, injection attacks, and format string attacks [49], [48]. Type safety prevents untrusted errors and can reduce trapped errors.

We describe here Ethos’ distributed type system, Etypes. Ethos is an experimental clean-slate Operating System (OS) which is designed to make it easier to write robust applications—that is, applications which withstand attack. Because of the large number of attack vectors against applications in traditional software systems, it is a daunting task to create robust applications on traditional systems. Ethos eliminates many application attack vectors in the OS layer, so that application programmers do not need to deal with them. This is in keeping with Ethos’ design goal of providing security over compatibility, simplifying application development and resulting in programs which are less prone to attack.

Processes on Ethos send data to other processes—either directly through Inter-Process Communication (IPC)¹ or indirectly through the filesystem—in the form of typed objects. Ethos subjects all I/O to recognizers which trap any ill-formed message. In general, inputs to the Ethos OS are either the result of user-space writes or arriving network packets.

The filesystem is the most important namespace for an OS, and Ethos both continues and extends the use of the filesystem namespace. In Ethos, type definitions exist in the filesystem, the filesystem names IPC channels in addition to files, and the filesystem bears a type label for each file/IPC channel. This bears some resemblance to the design of access controls in traditional OSs. OSs that subsume application-level access controls increase application programmer processing fluency because programmers no longer needed to build access controls into every program. Our experience is that programmers similarly benefit from Etypes.

The use of types throughout Ethos is extreme. Rather than using existing, low-level, and often ad hoc protocols, Ethos protocols are always generated from type descriptions. This

¹Both local IPC and networking share one API on Ethos (§IV-C).
Each Ethos process’ input and output channel has a declared type. Ethos guarantees that all input and output is recognized with respect to its declared type (§IV-C). Ethos tightly integrates PL/system support (§IV-B, §IV-C), which reduces application code and eliminates type errors.

Etypes has had an unexpected impact the design of Ethos’ scripting language.

Etypes provides a refined, naming-authority-free Universally Unique ID (UUID) generator for types (§IV-D). Types are independently defined and guaranteed not to conflict with types created elsewhere, yet equivalent types will always receive the same UUID.

Semantics implemented in the OS have significant requirements beyond those implemented in user space. An OS mechanism must be efficient, it must compose well with other OS mechanisms, it must be secure, it must be robust even if incorrectly used, and it must have a well-defined interface. Typically, an OS supports multiple languages to support a variety of uses. Despite these challenges, OSs provide complete mediation over interaction with the outside world, and so they are an ideal place to put security-sensitive abstractions.

We shall show in §V that Etypes provides stronger security services, removes many pitfalls which cause security holes, and reduces application lines-of-code (and therefore attack surface). We first survey types in general, including a series of definitions (§II). In the remainder of the paper, we discuss an overview of Etypes (§III), our design (§IV), evaluation (§V), and related work (§VI).

II. GENERAL DISCUSSION OF TYPES AND OSs

Programming Languages (PLs) can be untyped, in which variables can hold values of any type; or they can be typed, in which each variable is restricted by a type [16]. Type-safe PLs have no untrapped errors. This is ensured either by an untyped language runtime performing dynamic checking or by statically checking a typed program prior to execution. (Some languages have features that require dynamic checking, even though most of the language is statically checked.) Go is a typed language, LISP is an untyped language, and assembly is a language that is both untyped and unsafe.

Traditional OSs are untyped and have untrapped errors both internally and in their interaction with applications. As examples, OSs themselves often contain buffer overflows, and the read and write system calls found in POSIX process untyped byte sequences. Due to the latter, these OSs have little opportunity to sanitize program input, which in turn allows applications to receive ill-formed data. All too often, this leads to silent and unpredictable application failure.

Before adding a type system to an OS, one has to decide the properties that such a type system should provide. There are a number of specialized type systems in use in PLs, including linear types [53], which ensure at most one reference to each object; dependent types [41], where values influence types; and union types [33], which allow performing only operations valid for two or more types. These type systems provide increased strength, yet for the reasons that follow, they presently appear to be too restrictive for inclusion universally at the system level.

It is best if an OS is multilingual. There are different ways of composing things in an OS, some of which are quick and dirty, others of which need meticulous construction, and yet others of which benefit from different programming paradigms. More exotic type systems either (1) rule out certain PLs or (2) cause an impedance mismatch when a PL is shoehorned into a system with an ill-fitting type system. We don’t know what PL will be the most important for Ethos; thus we designed a simple type system for Ethos which is intended to provide a better match for popular type-safe PLs. We discuss language needs further in §IV-B.

It is a key thesis of the Ethos project that today’s systems do not compose well, resulting in overall code bases which are at least an order of magnitude larger than is needed. These bloated code bases arise from OSs that are implemented as a series of low-level mechanisms to maximize the degree of implementation freedom. In contrast, although Ethos’ higher-level mechanisms can provide any functionality, Ethos virtually restricts implementation freedom. Comparing Ethos to traditional OSs is akin to comparing higher-level and lower-level PLs.

A final consideration is versioning, where types change across software revisions. In an OS, objects are longer-lived than in a PL, and thus versioning is more important. We wanted the type not to be too specific, since this would lead to more versions. It is desirable to automatically convert between versions; Ethos supports this by maintaining sufficient type information in the filesystem. But when automated conversion is not possible, we wanted to eliminate errors resulting from type-version mismatch, especially for large-scale distributed use. In §IV we discuss Etypes’ UUIDs which satisfy both conversion and detection requirements.

III. ETYPES

On Ethos, applications only read and write whole objects, thus preventing short or long reads/writes [55]. A system call that writes object o will either succeed if o is well-typed or return an error without application side effects. Reads are also guaranteed not to return an ill-typed object. Managing side effects in this way simplifies application development, and is a strategy shared with other systems [37].

Here we present a high-level introduction to Etypes. We later describe in §IV the particulars of how application programmers make use of Etypes, as well as the tight integra-
eternalation

<table>
<thead>
<tr>
<th>Type</th>
<th>eNotation</th>
<th>eCoding</th>
</tr>
</thead>
<tbody>
<tr>
<td>Integer</td>
<td>intX, uintX</td>
<td>unsigned X-bit integers, where X is 8, 16, 32, or 64</td>
</tr>
<tr>
<td>Boolean</td>
<td>bool</td>
<td>unsigned 8-bit integer</td>
</tr>
<tr>
<td>Floats</td>
<td>float32, float64</td>
<td>little-endian IEEE-754</td>
</tr>
<tr>
<td>Pointer</td>
<td>T</td>
<td>enc. method</td>
</tr>
<tr>
<td>Array</td>
<td>[T]</td>
<td>values</td>
</tr>
<tr>
<td>Tuple</td>
<td>T […]</td>
<td>length</td>
</tr>
<tr>
<td>String</td>
<td>T ([T])</td>
<td>length</td>
</tr>
<tr>
<td>Dictionary</td>
<td>d[T]S</td>
<td>length</td>
</tr>
<tr>
<td>Structure</td>
<td>N struct […]</td>
<td>field values</td>
</tr>
<tr>
<td>Union</td>
<td>M union […]</td>
<td>uint64 union tag</td>
</tr>
<tr>
<td>Any</td>
<td>A Any</td>
<td>type's UUID</td>
</tr>
<tr>
<td>RPC</td>
<td>F(T0, T1, …, Tn)</td>
<td>uint64 func. ID</td>
</tr>
<tr>
<td>Annotation</td>
<td>text</td>
<td>n/a: contributes to UUID</td>
</tr>
</tbody>
</table>

TABLE I: Primitive, vector, composite, and RPC type eNotation and eCoding. UIDs are encoded as arrays of bytes; lengths are encoded as uint32; T is an arbitrary type; || is concatenation.

traditional way, the Etypes implementations are in fact one-way—they do not have direct return values. Instead, a callee returns a value by invoking a reply RPC. This supports both asynchronous and synchronous communication, and it also enables multiple RPC return values, even in languages whose native procedures support only a single return value. One-way RPCs are a very simple, yet entirely general, mechanism.

B. Binding semantics to types

Ethos separates recognition from processing. However, there may be semantic restrictions beyond Ethos’ type system that an application could violate. For example, an integer might represent time, which should increase monotonically. Or, a type might contain pointers but prohibit cycles. In Etypes, these considerations are left to applications—there is an inherent trade off between generality and safety in a system-wide type system. These higher-level semantics are called processing invariants.

eternalation’s annotations informally describe the semantics associated with types. Annotations (1) contribute to a type’s UUID, binding syntax and semantics together, (2) differentiate structurally identical types, and (3) express integrity requirements outside the scope of the type system (i.e., processing invariants). Application programmers, administrators, and users refer to a type’s annotations to determine the meaning of an object of that type, and thus annotations minimize the semantic-level difference [6] among Ethos users. Etypes annotations go beyond traditional comments because they contribute to a type’s UUID. We describe how these UUIDs integrate with Ethos’ recognition in §IV-C.

Consider the cryptographic certificate type described in Figure 1, a digitally signed bank transfer order. This type contains a certificate header and four certificate-specific fields: a bank ID, two account numbers, and the transfer amount. The annotations, for example the one that describes the bankId field as an American Bankers Association transit number (at line 3), narrow the semantic-level difference by carefully describing the meaning of these values. More complex annotations can be included from an external file referenced by an eNotation specification. (Field names such as bankId contribute to type UUIDs too, but they are insufficient for detailed descriptions.)

We consider an RPC interface a type for generality; RPCs are recognized, encoded, and decoded as with other types.

Fig. 1: An eNotation structure representing a bank transfer certificate
IV. DESIGN

Etypes is best understood in the context of Ethos. Ethos’ design follows three principals:

1) robust security services,
2) higher-level abstractions, and
3) abstractions that are designed to compose.

The first aims at providing the protections commensurate with the contemporary threat environment, while the latter two aim at reducing the pitfalls which cause security holes.

Ethos’ robust security services include encryption, authentication, and authorization. Ethos encrypts all network communication for confidentiality and integrity, authenticates all services and users, and subjects all communication to authorization. We discuss Ethos network encryption and authorization elsewhere [44], [45]; here it suffices to say that these protections are complementary to Etypes.

We discussed the impact of higher-level OS abstractions and compositibility in §II. The abstraction we focus on here is, of course, types. We discuss the composition of types with the filesystem, I/O system calls, and PLs. We will show that higher-level mechanisms can be implemented in the same number of lines of code as lower-level mechanisms, yet the higher-level mechanisms provide more complete semantics.

We implemented Ethos on a paravirtualized machine provided by Xen 4.1 [7]. Ethos currently provides memory paging, processes, encrypted networking, and a filesystem. We have implemented 39 system calls in Ethos: ported the Go and Python PLs to it; and built a shell, basic command-line utilities, a remote shell utility, and a networked messaging system.

The Ethos kernel (and PL runtimes) is presently written in C, and applications are written primarily in Go. We have thus far implemented Etypes support for the C and Go PLs, and we have built an Etypes scripting language called ϵL. Using authorization, Ethos prohibits applications in C. We do this because it is far too difficult to write secure programs in C. Longer term, we plan to reimplement much of the Ethos kernel in a type-safe language, but this is an implementation detail orthogonal to our focus on Ethos abstractions here.

Targeting different PLs presents both a challenge and opportunity. In §IV-A, we describe how Etypes remains multilingual and runtime-agnostic through the use of type graphs, and we explain why multiple PLs are needed to meet the various requirements of Ethos in §IV-B. We discuss issues related to the deep integration of Etypes into Ethos in §IV-C.

Intra-program type checking requires care to ensure type identifiers remain unique [10], [31], especially in loosely-coupled distributed systems [47]. Thus Etypes identifies its types using a type hash—a UUID based on a cryptographic hash of a type’s description. The type hash is a fully distributed mechanism: it names each type in a unique but predictable manner, yet it does not require any naming authorities. Type hashes also support the requirement of versioning, because changing a type will change its type hash. We describe the algorithm to compute type hashes in §IV-D. Finally, we present sample Ethos application code in §IV-E.

A. Type graphs

An Etypes type graph describes a collection of types (having themselves been specified using eNotation) in the form of a directed graph that contains type descriptions as nodes and type references as edges. Type graphs are self-contained; for all types $T$ in graph $G$, any type which $T$ references is also in $G$. For example, if a struct appears in a type graph, so do the types present in the struct’s fields. Each type in a type graph bears a type hash rather than the (local) names used in its eNotation specification. Given the eNotation specification of a series of types, a utility named eg2source generates such a PL-independent type graph along with its type hashes.

Ethos accepts only objects whose types exists in the system’s type graph (§IV-C). User-space utilities such as eg2source (IV-B) also make use of the type graph. Type graphs themselves are stored as eCoding. Table II shows the contents of a type graph node.

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hash</td>
<td>Type hash, the UUID for the type</td>
</tr>
<tr>
<td>Name</td>
<td>Mnemonic for the type</td>
</tr>
<tr>
<td>Kind</td>
<td>Integer representing the type’s kind (e.g., uint32, struct) from a fixed enumeration</td>
</tr>
<tr>
<td>Annotation</td>
<td>Annotation for a type, struct field, or func.</td>
</tr>
<tr>
<td>Size</td>
<td>Size, if the class is a fixed-length array</td>
</tr>
<tr>
<td>Elems</td>
<td>Tuple of type hashes representing: the type of a typedef, fields in a struct, type of elements in a vector, parameters/return values for an RPC function, RPC functions in an interface, or target of a pointer</td>
</tr>
<tr>
<td>Fields</td>
<td>Tuple of strings naming: the fields in a struct, parameters/return values for a function, or RPC interface functions</td>
</tr>
</tbody>
</table>

TABLE II: The contents of a type graph node; one node exists for every type specified

B. PL integration

Our type system is multilingual, but it nevertheless deeply affects the PLs Ethos supports. Here we discuss its impact in terms of three different types of PLs: unsafe, statically-checked PLs such as C; type-safe, statically-checked PLs such as Go; and type-safe, dynamically-checked PLs.

For performance reasons, Etypes minimally relies on introspection. Instead, it uses eg2source to generate code targeted to a specific PL; given a type graph and output language, the tool generates code used to encode and decode types. For RPCs, eg2source creates stubs and skeletons, similar to ONC RPC or CORBA.
Unsafe PLs: In the case of C, encode and decode require compile-time type definitions, because C uses static typing. On the other hand, types can be added to a running system, so the kernel’s use of recognize requires that recognize be table-driven and thus able to recognize types added after the kernel has been compiled. Currently, encode and decode are also table-driven, but unlike with recognize, this is not a requirement. (Note that there is a distinction between types encoded/decoded within the kernel during the course of its execution—these must be known to the kernel at kernel-compile time—and types encoded and decoded by applications but merely recognized by the kernel—these need not be known by the kernel at kernel-compile time.)

For C, eg2source generates tables which describe types, and a library called libetn walks these tables to encode, decode, or recognize the types. Since libetn depends only on external malloc- and free-like functions, it easily integrates into both the OS kernel and PL runtimes. Etypes simplifies kernel code by subsuming tedious manual encode, decode, and recognition routines.

Since C is not type-safe, C can have untrapped errors even with Etypes, such as a mismatch between an eNotation type and a C variable. However, C use in Ethos is limited to system software, where it can be subjected to more rigorous code inspection.

Type-safe, statically-checked PLs: Go is type-safe and statically-checked, and we designed Etypes to fit well with such languages. Each Go program contains only a fixed number of compile-time types, and likewise, the eNotation types associated with the external objects read or written by each program are declared in advance and restricted by Ethos. Such restrictions increase application security by reducing an attacker’s ability to create weird input with which an application must contend.

For Go, eg2source directly generates individual encode and decode routines for each type, unlike C’s libetn- and table-based implementation. Code which uses these routines to transmit data of some arbitrary type T from one process to another is shown in Figure 2. Figure 2a creates an IPC channel and sends the value t of type T on it. Figure 2b accepts the IPC channel and receives an object of type T from it. eg2source generates the procedures lpc, WriteT, Import, and ReadT; thus the system calls necessary to implement these operations are hidden behind typed APIs for convenience (but even direct use of the system calls will be checked by Ethos’ recognizer).

In keeping with Ethos’ goal of minimizing application complexity, Etypes’ calls require no more application code than untyped I/O calls in other OSs. However, Ethos calls do more, reducing the total amount of application code.

We believe minimizing compositional code (in this case between types and filesystem operations) simplifies programming, reduces the chances for errors which can be exploited by attackers, and makes programs more readable.

**Type-safe, dynamically-checked PLs:** Traditional applications benefit from statically-checked languages because such languages provide higher integrity. However, utilities often benefit from dynamic types. Consider traversing a filesystem recursively and displaying the contents of files; here each type may not be known at compile time, because additional types can be added to the system after compilation. For such requirements, we have built eL [42], a dynamically-checked language that integrates tightly with Etypes. It is dynamically typed, because eL’s typing is in the filesystem (all variables exist in the filesystem).

Specifying types is unnecessary within an eL program, because eL uses the type labels from the filesystem in conjunction with the type graph to type its objects. Pipes and files support typed objects, and network programming, of course, uses Etypes-based protocols. Thus there is no need to write eL code for network protocols, or other boilerplate code which is orthogonal to the application semantics. As a result, programmers can use eL to quickly and easily produce distributed programs.

Like the Bourne shell, eL supports terse command lines for interactive use, but it also supports more traditional programming constructions. Composite types simplify quoting in eL when compared to the Bourne shell; this phenomena bears some resemblance to LISP, where quoted lists cleanly nest. We discuss this further in §V-B.

eL allows printing, summarizing, extracting information, and creating composites over the types in an Ethos system. It uses a combination of generic operators, introspection, and type-specific extraction to do this. For example, we have written a program isATree (Figure 3) which will walk all the pointers of a given object recursively to see if any node is reachable by multiple paths. isATree makes no stipulation about the type of objects it checks.

One of the great successes of UNIX is its text-based utilities.
Since UNIX was designed, these utilities have been diminished as types have become richer. Generic utilities, which process types dynamically, are essential to making Ethos accessible and general. Etypes enables UNIX-like utilities that manipulate richer data. This bears some resemblance to PowerShell [3], but Ethos provides deeper integration of types with its system call abstractions.

C. OS integration

Here we describe how Ethos associates a type with every IPC channel and file and how Ethos uses these types to regulate system calls.

Recognition overview: Ethos verifies all network reads and all (file/IPC/network) writes using recognize. Ethos ensures that only the kernel may write to local files by design, so recognizers need not run on user-space filesystem reads, a significant savings over application-based recognizers. Ethos traps ill-formed writes, as an aid to correctness and to provide problem diagnosis. Thus Ethos recognition behaves analogously to an authorization reference monitor, except that recognition restricts which data an application may read or write rather than merely which objects the application may read or write. We describe in §V-C how Ethos’ recognizer and reference monitor interact to provide further protections.

Associating types with objects: Every object within a given Ethos filesystem directory has the same type, that is, a directory may contain only objects of a single type. As Ethos uses filesystem paths to name IPC services, directories determine the types of both files and IPC connections. While their homogeneity increases the number of directories, it also allows Ethos to enforce type safety transparently—a write need not explicitly specify a type. We say that a directory declares the type of its files. We provide an example Ethos program that accesses a file in §IV-E.

In Ethos, types need only be specified when creating a directory, a relatively infrequent operation compared to file operations. Applications create directories with the createDirectory call, which accepts as parameters the parent directory file descriptor dirFd, a name, an authorization label, and a type hash tHash:

```
createDirectory(dirFd, name, label, tHash)
```

We expect directory creation to primarily be handled by administrative tools. If the directories are set up outside of a particular application, then the application and type policy are completely independent. In cases where a directory naturally contains various types, declaring the any or union type allows for heterogeneity in the style of traditional directories.

Files: In Ethos, the contents of a file can be any Etypes object, from primitives (e.g., a 32-bit integer) to complex entities made up of multiple objects (e.g., a tree). (Of course, a particular file’s type must match its parent directory as described above.) Ethos provides a writeVar system call to write an object to a file in its entirety (an Ethos file is not a streaming object):

```
writeVar(dirFd, fileName, contents)
```

The OS traps any attempt to write an object that is ill-formed or not of the expected type. The inverse of writeVar is readVar:

```
object = readVar(dirFd, fileName)
```

Similar to writeVar, the read object must be well-formed to be delivered to the application. To simplify further, rather than directly use the above system calls, application programmers use encode/decode interfaces which wrap the various read and write system calls (§IV-E).

Seek: Ethos does not support the seek operation within files. It may seem odd not to have file seeking; after all, video files can span many gigabytes. But this is necessary to simplify the handling of failures—an object is either of the correct type and the readVar/writeVar system call succeeds or it is not and the call fails without application side effects.

In designing Ethos, we had originally sought to provide a file seek. But its semantics became complex when considering typed objects because (1) objects have variable size and hence computing an offset to a well-formed sub-object is not straightforward; (2) the encoding is not normally visible to applications which only see decoded values; and (3) errors would not be detectable until the whole file was read, complicating error recovery. (3) is particularly troublesome, as application effects could be introduced into the system as it reads well-formed offsets, only to encounter an ill-formed offset later. At this point, error recovery becomes unnecessarily difficult.

As a consequence of reading files only in their entirety, Ethos bounds file sizes to conserve memory. While limiting file sizes might at first seem odd, large files on traditional OSs can exceed virtual memory. Thus even in traditional OSs, user-space programmers must explicitly manage objects which do not fit in (virtual) memory.

Anecdotal evidence and research indicates that large files are increasingly important [23]. Ethos does support very large aggregates—such as video files—as a directory of files. In Ethos, traversal of files is replaced by traversal of directories.

Streaming IPC and directories: Both Ethos IPC and Ethos directories are streaming entities, and Ethos enforces the type of each write to these constructs (i.e., both IPC and directories stream objects, not bytes). The write system call sends well-formed and appropriately typed data out on a streaming descriptor:

```
write(descriptor, contents)
```

Conversely, the read system call reads from a streaming descriptor. Again, the data must conform to the expected type.

```
object = read(descriptor)
```

Streaming directories present a special challenge, because their files must be named to preserve their order. The write system call, when applied to a directory, creates a file named...
with the current time; the read system call, when applied to a
directory, reads the next file in lexical order by filename. Thus
Ethos provides a mechanism to stream over a directory of files,
where each file is non-streaming (i.e., read in its entirety).

Seeking within an Ethos directory takes place at the granu-
larity of objects instead of bytes. For example, an Ethos video
format might encode each frame as a file. A program could
then implement video-fast-forward functionality that displays
only every nth frame by skipping over n - 1 frames (files) and
then displaying the nth one. Similarly, rewind, fast rewind,
and go to a frame m minutes into the video can be easily
(and efficiently) implemented.

In its implementation of streaming directories, Ethos asso-
ciates a current file name with each directory descriptor.
In the video format described above, each file name is the
frame’s number in the form of a text string. Seeking on a
directory consists of tracking the current frame number, adding
or subtracting the difference in frames, and then converting the
result to a string in order to read the file.

We provide program fragments making use of Ethos IPC
and directory seeking in §IV-E.

Networking: In Ethos, both IPC channels and network
connections are created by the ip command. (The IPC
case merely results from an empty hostname parameter.)
Etypes performs many networking chores at the OS-level,
including encryption, cryptographic user authentication, en-
dianness, alignment, recognition, and data value encoding,
so that networking just works. No Ethos application can receive
ill-typed data from the network, because first the data must satisfy Ethos’ recognizer. Higher-level interfaces also allow
Etypes optimizations independent of application code.

Type graph: Ethos stores the system type graph in its
filesystem at /types. Both internal kernel types and types
specified in the course of application development are orga-
nized as collections, and both kernel and application-specific
collections are stored in /types/spec{col}, where c is a collection
name. Each file in collection directory c is a graph node, and
each file’s name is the type’s hash. The directory /types/all/
contains copies of all of the types described by the collections
in aggregate. The directory /types/all/ is loaded by Ethos at
boot time and reloaded for types created while the system is
running.

We envision that application type hashes will be installed by
Ethos’ packaging system and will remain until the installing
package and all of its nodes are removed. A type with hash
h can be removed from /types/all/ only when it is not present
in any directory /types/spec{col} and it is not used as the type
hash for any directory.

Protocol proxies: Ethos cannot directly support legacy
protocols such as HTTP. We note that a second OS is always
present on the same host as Ethos, since Ethos runs on top
of a Xen Virtual Machine (VM). Thus there are two ways to
interact with a legacy protocol:
(1) run the legacy protocol entirely on the second OS, or
(2) run a protocol proxy on the second OS.

A protocol proxy speaks both eCoding and a legacy protocol.

A domain-specific language would be useful to deal with
legacy protocols, and we have begun to build such a protocol
proxy for HTTP.

Interestingly, the design of such eNotation protocols can
provide security properties. This is because Etypes will limit
the data that can be seen by the application and thus rule out
issues such a mismatch between a length field and the actual
size of data.

D. Type hash algorithm

ENotation identifies types based on the hash of their syn-
tactic and semantic specification, ensuring each type has a
UUID. The possibility of cyclic types complicates this process
somewhat. For example, the eNotation in Figure 5a contains
the cycle $V \rightarrow W \rightarrow V$. Here we describe the algorithm
typeHash which calculates a type hash for $T$ when given a
type graph that describes $T$. (Of course, the type graph is not
yet complete—it lacks each type’s hash, which we will now
compute).

Let $t$ be the type graph node corresponding to $T$ and let
$G = (N, E)$ be a directed graph. $N$ is the set of non-primitive
eNotation definitions reachable from $t$, and $E$ consists of the
edges $[n, n']$ where node $n$ directly references node $n'$.

First, typeHash’s partition computes $G' = (N, E_f)$, a
Directed Acyclic Graph (DAG) rooted at $t$ and spanning $G$.
(Given $G$, partition deterministically computes the same $G'$.)
The remaining edges, $E_b = E - E_f$, are the back edges.

Figure 4 shows the partitioning of our sample type.

We next describe how typeHash propagates hashes in $E_b$
and $E_f$. Every node which references another node will
contain indirectly—through a series of intermediate hashes—
or directly the referenced node’s hash.

First, typeHash deals with the back edges using
intermediary. intermediary visits back edges $e \in E_b$, calculating
the hash of the parent node of $e$ and substituting this hash
in $e$’s child node. Included in each hash is the parent node’s
eNotation definition, including the annotations which precede
it or are contained within it. These substitutions are done in
an order such that the hash is always on a node which has not
yet been re-written; this is ensured by noOut($n, E_f$) which
means that $n$ has no outgoing edges in $E_f$. Thus intermediary
computes hashes for all dependencies in $E_b$.

Next, typeHash uses collapse to compute type hashes for
each $e \in E_f$, starting at the nodes which have no out edges and
chaining back toward $t$. After computing this hash, collapse
substitutes it for references to its type in other eNotation
definitions, removes $e$ from $E_f$, and repeats until $E_f$ is empty.

Finally, typeHash computes $t$’s hash.

![Fig. 4: A graph partitioned into $E_f$ and $E_b$](image-url)
We now provide an example of how `typeHash` calculates the type hash for `T`. Initially, $E_f = \{[T, U], [T, V], [V, W]\}$. $[W, V]$ is a back edge and thus the only member of $E_b$.

The hash calculations are shown in Figure 5b. First, `typeHash` calls `intermediary($E_f, E_b$)`. The only edges that satisfy Line 1 are $[V, W]$ and $[T, U]$, and the only edge that satisfies Line 2 is $[W, V]$, so `intermediary` calculates the intermediate hash $h_1$ and replaces $V$ in $W$'s $eN$otation with $h_1$.

Next, `typeHash` runs `collapse($E_f$)`. This calculates the hash for $U$, labeled $h_2$, and propagates this hash to $T$, replacing its reference to $U$ with $h_2$. Likewise, `collapse` hashes the definitions of $W$ and $V$ to compute $h_3$ and $h_4$. At each step, `typeHash` replaces the child’s reference in the parent’s $eN$otation with a hash. Finally, `typeHash` computes $T$’s type hash, $h_5$.

### Algorithm 1: `typeHash(t)`

1. $[E_f, E_b] \leftarrow \text{partition}(t)$
2. $E_f \leftarrow \text{intermediary}(E_f, E_b)$
3. $E_f \leftarrow \text{collapse}(E_f)$
4. return $\text{hash}(t)$

### Algorithm 2: `intermediary($E_f, E_b$)`

1. while $\exists[n', n] \in E_f \mid \text{noOut}(n, E_f)$ do
2. for all $[n, n'] \in E_b$ do
3. $h \leftarrow \text{hash}(n')$
4. replace references to $n'$ in $n$ with $h$
5. $E_b \leftarrow E_b - \{[n, n']\}$
6. end for
7. $E_f \leftarrow E_f - \{[n', n]\}$
8. end while

E. Sample code

**Filesystem access:** Figure 6 provides an example of encoding to and decoding from a file in Go. Figure 6a defines `TypeA`, a struct containing an integer and an `Any`. We assume the presence of a directory `someDir` bearing the type hash of `TypeA`.

Figure 6b demonstrates how to write (encode) `TypeA` to this directory. Here Line 5 initializes `value` to a `TypeA` structure, Line 6 opens `someDir`, and Line 7 writes `value` to a file named `fileName` in the directory. The OS would trap any attempt to write an object that is ill-formed relative to the type associated with `someDir` (i.e., anything but a valid `TypeA`) as a runtime error.

Figure 6c provides the read. Line 9 decodes a file to a native `Go struct` using `ReadVarTypeA`. Trying to decode from a file using the wrong decode function (e.g., `ReadVarTypeB`) would result in a runtime error.

**Streaming directories:** §IV-C described an application that made use of a `video frame` type to store a video as a series of files within an Ethos directory, along with the system call semantics that make this convenient. We provide a portion of such a program in Figure 7. Each iteration of this listing’s loop checks the current operation and either displays the next frame or performs a video seek operation. Seeking within streaming directories instead of within files allows Ethos to manipulate whole objects without complex failure semantics.

**Any types:** We now describe the details of using the any type as we depict in Figure 6’s Lines 10–12. The any type in
current := 0
op := getCurrentOp ()
for {
    op := getcurrentOp ()
    switch op {
        case PLAY:
            current++;
        case BACK:  // Back 30 objects.
            current -= 30
        case FORWARD: // Forward 30 objects.
            current += 30
        }
    name := stringify (current)
    display (frame)
    dec . IHandle (enc)
    ReadVarFrameType (name)
}

Fig. 7: Playing or skipping video frames; each frame is a file of type FrameType, and ReadVarFrameType reads the named file in the directory

(a) Example eNotation: an RPC interface

```
interface {
    Add (i uint32, j uint32) (r uint32)
}
```

(b) Example code: invoke RPC

```
enc, dec := en . Ipc (hostname, serviceName)
enc . IAdd (0, 1)
deck . IHandle (enc)
```

Fig. 8: Invoking an RPC and handling the response, which will be passed to a user-defined function by IHandle

TypeA must be of some actual type specified using eNotation and present in Ethos’ system type graph. (Not shown is the error handling for Lines 7 and 9 should the type be unknown.) Encoding an any type encodes the type hash of the actual type followed by the encoding of the actual type. Decoding an any type uses introspection to identify the actual type (Line 10). Once the actual type is determined, the application can act on it appropriately.

**RPC:** Figure 8 provides an example of invoking an RPC. Figure 8a defines an example RPC interface containing a single function, Add. Not depicted is a detailed annotation describing Add.

Figure 8b provides the body of an application. It opens a network connection using ipc and initializes enc and dec to the returned encoder and decoder objects, respectively. These, in turn, are wrappers for Ethos’ read and write system calls, and also provide access to the generated RPC stub/skeleton routines (recall that these too are abstractions of read and write). The program next invokes enc’s IAdd function, thereby making an RPC request. Calling dec’s IHandle function causes the program to wait for an incoming RPC reply to IAdd. The programmer must also implement IAdd and IAddReply, but this is not depicted (the generated skeleton routine IHandle will dispatch to these functions). Attempting to write an ill-formed request relative to the type associated with serviceName will cause a runtime error, and Ethos will not deliver ill-formed responses to the application.

**V. Evaluation**

Ad hoc input sanitization has been problematic, often due to incomplete or inconsistent recognizers. Traditional OSs do little to ensure data is recognized correctly or consistently, as each application is left to include their own recognizers. Tools such as bison, PADS [21], and Hammer [2] greatly aid in writing recognizers and parsers, but their use is discretionary and so it remains difficult to assess the safety of a large system as a whole. These issues give rise to subtle vulnerabilities.

Here we describe how Ethos addresses many of these vulnerabilities, before describing some performance results. We ran performance experiments on computers with 4.2 GHz AMD FX-4170 quad-core processors and 16 GB of memory.

**A. The context-free equivalence problem**

Jana et al. showed that because virus detectors and applications often parse data differently, detectors might miss infected files [34]. For example, a detector might not scan an infected file contained in an ill-crafted archive file, yet an extraction program might unwittingly extract it. Such difficulties are also encountered in defenses against Cross-Site Scripting (XSS) attacks, because a server’s input validation must anticipate how a client browser will parse HTML [13]. Similar patterns result from multiple X.509 parsers [39], Flash parsers, and so on.

Ethos addresses the context-free equivalence problem by (1) explicitly typing data, (2) removing ad-hoc recognizers from applications and centralizing them at the system level and (3) generating both system-level recognizers and application-level encoders and decoders from a single eNotation description per type.

**B. Injection attacks**

In SQL- and OS-command injection attacks, an attacker influences an unstructured string that is later parsed and acted upon by another system component [29], [50]. For example, a naive program might receive a file path from the network and add this path to a command line sent as input to a UNIX shell. An attacker could craft a path that contains the ‘;’ character, which could cause the shell to execute arbitrary commands that the attacker embeds in his path string. Such injection attack vulnerabilities remain prevalent [40].

By forbidding unstructured communication. Ethos makes it unnecessary to combine data (i.e., the path input described above) with control strings (i.e., the ‘;’ character). On Ethos, distinct types represent shell commands and database queries. An application communicates such requests in the form of an eCoding, and these encodings are equivalent to an abstract syntax tree. Avoiding the need to parse unstructured text removes the need for fragile sanitization routines and complicated character escape sequences. These Ethos facilities are more general than, but resemble, prepared SQL statements [12].
C. Semantic-gap attacks

Buccafurri et al. presented the Dalí attack in the context of digital signatures [15], and Jana et al. presented chameleon attacks in the context of anti-virus software [34]. These attacks arise because the types of the objects stored in filesystems or communicated between processes are left ambiguous. Applications must guess object types, and, of course, sometimes do so erroneously.

In a Dalí attack, a signatory is fooled into producing a certificate that can have two meanings, depending on which application views it (Buccafurri showed that one file could simultaneously be both a valid PDF and TIFF). Chameleon attacks are similar; here a file’s type is inferred one way by a virus checker but another by an application, resulting in a falsely negative virus scan. In contrast, Ethos is type safe, it inescapably labels each object with its type (§IV-C), every application and utility interprets an object consistently by this type, and each type includes a universal meaning as defined by its annotations.

The depth of Ethos’ type enforcement warrants further discussion. Consider two types $t_1$ and $t_2$ with identical structure; they nonetheless have different hashes in Ethos due to their annotations (§III-B). An Ethos application commits to a type when it reads or writes data as an Ethos object, and Ethos thereafter enforces this chosen type. Of course, an application could erroneously swap data of type $t_1$ for $t_2$ (i.e., read an object of type $t_1$ and write it as a structurally-identical object of $t_2$). This error is unavoidable through Ethos’ structural recognition alone, but Ethos applications are written in type-safe programming languages, which assume responsibility for protecting against such mistakes within a process. A user might also inadvertently execute a maliciously written program, and that program could arbitrarily transform data but nonetheless write it as a well-formed Ethos object of the expected type; here Ethos’ authorization system can restrict the application so that it can only write Ethos objects of type $t_1$. Ethos’ certificate system provides further countermeasures against such swaps (see below). Even in these cases, there is no possibility of encoding errors since $t_1$ and $t_2$ are identically coded.

Another attack comes from short or long reads, in which the object is partially read or data beyond the object is read [55]. In these attacks, it is possible to get confused as to the sources of input, mistaking untrusted for trusted information. The interfaces provided by Ethos forbid short or long reads.

D. Certificates

A certificate is a signed statement, and the meaning of the statement depends on the type of the certificate. For example, Alice might want a certificate which requests a payment from her bank account to a utility company for $100. Certificates provide very strong protections, as anyone can determine whose key signed it, but the signature prevents tampering since the signature depends on the certificate’s content.

Ethos provides a sign system call:

\[
\text{sign(dirFd, fileName)}
\]

to sign certificates, and because sign is a system call, Ethos can isolate keys from applications to forbid any other means of signing [43]. For this system call to succeed, the process must have signing permission on objects in the directory `dirFd`. Moreover, the directory has only objects of a single type, so that an application—such as a less-trusted program that needs to generate signatures, but not on payment requests—cannot accidentally (or maliciously) sign a forbidden certificate type (i.e., meaning). Thus in Ethos permissions and types go hand-in-hand to strengthen protections, in addition to the semantic-gap protections described above.

E. Reducing lines of code

We describe next how `eg2source` reduces the Lines of Code (LoC) in both the kernel and applications. Such a reduction almost always benefits security, as it removes opportunities for programmer error.

**Kernel code:** As previously discussed, we use `libetn` in the Ethos kernel. Replacing our original hand-written RPC code with eNotation machine-generated code added 1,124 while removing 1,778 LoC, a net reduction of 654 LoC. More importantly, this use of machine-generated code within Ethos ensures all of the system’s RPCs implement formally specified grammars.

This brings `libetn`, `et2g`, and `eg2source` into the Trusted Computing Base (TCB) of Ethos. We note that the TCB typically contains far more than just the kernel—for example, encryption and many other code bases. The lines of code associated with each Etypes component are listed in Table III. Etypes’ language support is less than 25% the size of ONC RPC, even though it supports both C and Go.

**Application code:** Applications also save many lines of code through the use of eNotation. For example, existing mail user, transfer, and delivery agents require much code to read and write various protocols and formats, including Simple
Mail Transfer Protocol (SMTP) message envelopes, Internet Message Format (IMF) message headers, and Multipurpose Internet Mail Extensions (MIME). Each of these is described by a series of Requests for Comments (RFCs). Furthermore, configuration files also require parsing.

These requirements increase application size. We reviewed libcamel [1], a library that implements many mail-related encoders (SMTP, POP3, MIME) and parsers (XML/HTML), and summarize its over 150,000 lines of code in Table IV. Its encoders/decoders support communication and storage, and its parsers are for languages which are used in networking for both clients and servers. In each case, substantial code is needed to handle input and output that can be ill-formed in arbitrary ways.

To illustrate the use of Etypes, we wrote eMsg, a messaging system for Ethos. eMsg is able to send and receive a message whose type is defined in eNotation and invoke RPC functions generated by eg2source. eMsg specifies the equivalent of SMTP and IMF using only dozens of lines of eNotation.

F. Performance

Microbenchmarks: We first analyzed performance by measuring the speed at which our implementation can recognize, encode to, and decode from a memory buffer (Figure 9). We wrote a series of microbenchmarks based on a collection of types, including primitive, vector, and composite types. We tested the speed of encoding and decoding using Etypes, XDR, and JavaScript Object Notation (JSON). We also measured the speed of recognition. An average for each test of encoding, decoding, or recognition is provided.

Figure 9 depicts our results. For scalar types, XDR is the fastest as it benefits from mandatory 32-bit alignment. XDR also encodes pointers faster than Etypes, but this is because Etypes supports cyclic and shared objects. JSON performs the slowest due to its use of runtime type introspection. Etypes’ encoding of vectors containing scalar types benefits in the common case of little-endian architectures. C Etypes encoding is 0.136–22.823 (geometric mean: 0.985) times faster than XDR, and its decoding is 0.180–33.084 (geometric mean: 0.995) times faster than XDR. Verification is a common operation in the Ethos kernel, and its speed is 1.375–9.568 times faster than C Etypes encoding.

eMsg performance: Here we compare the performance of eMsg to Postfix. Since Ethos encrypts and cryptographically authenticates all network connections (More details about Ethos networking may be found in parallel publications [45], [44]), we configured Postfix with Transport Layer Security (TLS) encryption and client certificate authentication. We wrote a client program that connects to Postfix using TLS-protected SMTP over a UNIX socket and sends 2,500 emails to the server.

eMsg provides a client/server architecture roughly similar to Postfix. Both the client and server perform type-related work: the client-side kernel must check the well-formedness of messages sent to the network and the server-side kernel must do the same for received messages, as well as check the data that the receiver writes to a user’s spool directory.

Figure 10 shows the performance of eMsg and Postfix, over three message sizes. Each bar consists of two parts: the message itself and its overhead. We modified eMsg so that it incurred an overhead roughly equal to Postfix, 1,229 bytes. (eMsg’s natural overhead is smaller because its equivalent to IMF headers is more terse.) For each message size, eMsg was faster than Postfix.

G. Encoding density

eCoding’s use of implicit encoding reduces the size of serialized data. There are a few exceptions, where we made space-time tradeoffs. For an array, a type hash identifies the actual type, requiring 64-bytes to precede the eCoding that follows. eCoding encodes nil pointers with a single byte, and the overhead for other pointers is also a single byte. Fixed lengths, as for arrays, need not be encoded, but tuples, strings, and dictionaries encode their length as a 32-bit value. (Choosing a 32-bit length is possible due to the integration of Etypes and Ethos—the maximum Ethos object size is $2^{32} - 1$; larger constructions can exist as a collection of objects as discussed in §IV-C.) RPC calls contain a procedure ID as overhead, and discriminated unions contain a 32-bit tag. eCoding is not 32-bit aligned; thus it can encode values of less than 32-bits more efficiently than XDR.

VI. RELATED WORK

A. Types, distributed programming, and operating systems

Our design of the eNotation type hash builds on the ideas of network objects’ fingerprint [10], [31]. The important additions provided by the eNotation type hash are the use of a modern, cryptographic hash; support for semantic binding through the use of annotations (§III-B); and a deep integration into Ethos (§IV-C).

Early languages designed for creating distributed systems include Argus and Emerald [36], [14]. Both were designed to run on UNIX. Argus provides an object encapsulation called a guardian and atomic actions. Emerald provides a single mechanism to invoke both local and remote objects, and its objects can migrate between nodes.

Java’s type system provides isolation within the JX OS [24]. JX OS does not require a Memory Management Unit (MMU)
and instead implements software-based stack overflow and nil reference detection. Singularity is written in Singλ, provides Software Isolated Processes (SIPs), and uses linear types to prevent shared-memory-related race conditions [32]. LISP and Haskell have also been used to construct an OS kernel [26], [28]. Ethos has a different goal than these OSs, namely to remain intentionally multi-lingual.

SPIN allows applications to specialize the OS kernel using extensions [8], [27]. Type safety allows SPIN to dynamically link extensions into the kernel while isolating other kernel data structures. Exokernels likewise maximally embody the end-to-end argument [20]. This has been shown to provide performance benefits, for example by allowing applications to interact more directly with network interfaces [22].

Fabric labels objects with their security requirements and provides distributed-system-wide, language-based access and flow controls [38]. HiStar provides a simplified, low-level interface and implements mandatory information-flow constraints without a language dependency [57]; DStar builds on HiStar to provide information flow across hosts on a network [58]. Developments in HiStar/DStar and Ethos are complementary: flow control will benefit from a higher system-level semantic understanding of the types in a system.

B. Serialization and RPCs

Many mechanisms exist for object serialization. These mechanisms range from the basic (e.g., htons) to the sophisticated. Care must be taken when serializing an object containing pointers which might produce shared objects—where two or more objects each reference a given object—or cyclic objects—where an object contains a direct or indirect reference to itself. Standard techniques exist to address these requirements [30]. In an implicitly typed encoding, only data is encoded, not its type. This reduces encoding size and eliminates type field interpretation while decoding. In explicit typing, encodings contain both type identifiers and data.

RPCs are procedure calls that are executed in another address space [11]. Some RPC systems allow communication with remote computers and others—often for performance reasons—allow communication only within a single computer [9], [56]. RPC systems are used both in applications and microkernels. Microkernel RPC is particularly performance-sensitive; its performance is often highly optimized [35].

PL-specific serialization and RPC systems do not need a multilingual Interface Description Language (IDL), and provide conveniences such as the ability to pass previously unknown objects such as subtypes to remote methods. PL-specific systems include Python’s pickle [5], Java serialization [25], C++’s Boost [4], and Java’s Remote Method Invocation (RMI) [54].

Programmers who use certain PLs or runtimes benefit from the convenience of PL-specific serialization, but many large distributed systems require language independence. Multilingual serialization and RPC systems include XDR [19], ASN.1, JSON [18], Protocol Buffers [46], ONC RPC [51], and CORBA [52]. Etypes behaves most like ONC RPC which is multilingual, has an implicitly typed encoding, and generates code based on type descriptions (e.g., source follows rpcgen). CORBA’s any types use identifiers that are ambiguous [17], but Etypes uses UUIDs. Ambiguity can violate the type safety of inheritance and any types.

VII. CONCLUSION AND FURTHER WORK

An OS-wide type system can make it easier to develop and administer a robust distributed system. In several ways, Etypes resembles ONC RPC which is multilingual, has an implicitly-typed encoding, and generates code based on type descriptions. But Etypes is unique due to its tight OS integration.

Ethos’ clean-slate design enables deep integration and simple semantics. The filesystem plays a critical role in maintaining type information and simplifying failure handling through streaming directories. Surprisingly, Etypes impacted the design of other parts of the system, most notably the filesystem. Because of Etypes, Ethos does not have seeks (it uses directories instead), treats directories as streaming entities, and associates file metadata with the file’s parent directory. This has a profound impact on Ethos’ shell, which is type, rather than string, based.

Ethos performs recognition in its kernel, ensuring that applications only see well-formed data that matches their expected type. This provides important security protections such as ensuring consistent treatment of objects, removing parsing ambiguities, and removing substantial parsing code.
Parsing code is an especially attractive target for attackers due to its size, complexity, and direct availability. Input is traditionally the Achilles heel for security, a direct entry point into the program which can be (and often has been) exploited by attackers.

The greatest advantage of parsing over Etypes-style typing is that parsing can recognize legacy protocols. On the other hand, typing not only recognizes input but creates a data structure for processing. Parsing typically creates such a data structure in the actions associated with syntax recognition, rather than as a formal component of the parser. Etypes handles legacy protocols through the use of protocol proxies.

Ethis’ application APIs are as straightforward to use as untyped APIs on traditional systems, while performing many chores for the application programmer and thus reducing application code size.

Ethis’ type hash allows separately developed components to be later combined with predictable results, and annotations remove type ambiguity while documenting processing invariants. Most importantly, both eliminate the need for central type naming authorities.

We plan to build on Etypes’ guaranteed properties. It is already not possible to evade the OS’ conformance checks. Currently, programmers are discouraged from directly using Ethis’ low-level systems calls; a future implementation will eliminate this access, forcing the use of Etypes’ encode/decode routines.

One of the most interesting areas is the design and implementation of \texttt{el}. Etypes’ uniformity makes it easier to write utilities and scripting languages which enable system administrators to better manage their systems. Our goal is to make Ethis accessible to system administrators through \texttt{el} scripts that are as useful as UNIX’s text-based scripting languages even while manipulating richer types.

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